

VIA FIRST CLASS MAIL
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FULBRIGHT & JAWORSKI L.L.P.

By Janette Crossan

#14
LUD 5466.4 - JEL/NDH (09807811)

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NOV 24 2000

OFFICE OF PETITIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Knuth, et al.
Serial No. : 09/165,546
Filed : October 2, 1998
For : ISOLATED PEPTIDES CORRESPONDING TO
AMINO ACID SEQUENCES OF NY-ESO-1...
Group Art Unit : 1633
Examiner : Wilson, M.

November 15, 2000

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

**PETITION
TO CORRECT
INVENTORSHIP
(37 CFR § 1.48)**

S I R:

Applicants hereby petition to correct inventorship in this application. In support, attached are the following:

- (1) a statement by Jan W. Drifhout, who is the sole individual to be added as inventor, stating that any errors in inventorship occurred without deceptive intention on his part;
- (2) declaration/power of attorney forms signed by all inventors. Note that in view of geographic separation, four forms were required;



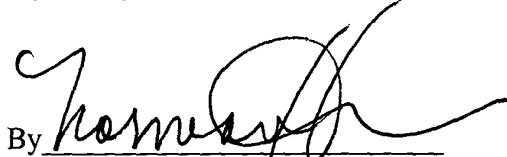
LUD 5466.4 - JEL/NDH (09807811)

(3) a check in the amount of \$130.00, as required by 37 CFR § 1.17(i). If the check becomes dislodged, or the amount is in error, authorization is given to make adjustment to Deposit Account 500624;

(4) copies of assignment documents, together with the written consent of each assignee. It is believed that all requirements of 37 CFR § 1.48 are met. Favorable action on the petition is requested.

Respectfully submitted,

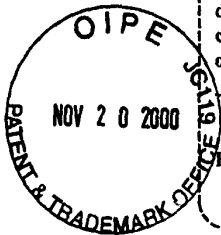
FULBRIGHT & JAWORSKI L.L.P.

By 

Norman D. Hanson

Reg. No. 30,946

666 Fifth Avenue
New York, New York 10103
(212) 318-3000



VIA FIRST CLASS MAIL
I hereby certify that this correspondence is being deposited with the United States Postal Services as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

FULBRIGHT & JAWORSKI L.L.P.

By

Denise Squires

LUD 5466.4 - JEL/NDH (09807811)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Knuth, et al.
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AMINO ACID SEQUENCES OF NY-ESO-1...
Group Art Unit : 1633
Examiner : Wilson, M.

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231


STATEMENT OF JAN W. DRIJFHOUT (37 CFR § 1.48(a)(1))

SIR:

Jan W. Drijfhout hereby declares that his exclusion as a coinventor on the above referenced application occurred without deceptive intention on his part.

Respectfully submitted,

Date: 16-10-2000



Jan W. Drijfhout



LUD 5466.4-JEL/NDH 11/20/2000

DECLARATION FOR PATENT APPLICATION

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NOV 24 2000

As a below named inventor, I hereby declare that:

My resident, post office address and citizenship are as stated below next to my name.

OFFICE OF PETITIONS

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCE OF NY-ESO-1, WHEREIN BIND TO MHC CLASS I AND MHC CLASS II MOLECULES, AND USES THEREOF, the specification of which

() is attached hereto.

(X) was filed on _____ as Application Serial No. _____ and was amended on (1) _____, (2) _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

Foreign Priority Applications

I hereby claim foreign priority benefits under Title 35, United States Code 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes () No ()
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes () No ()

U.S. Priority Applications

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>09/062,422</u> (Appl. Ser. No.)	<u>4-12-98</u> Filing Date	<u>Pending</u> Status-patented/pending/abandoned
<u>08/937,263</u> (Appl. Ser. No.)	<u>9-15-97</u> Filing Date	<u>Pending</u> Status-patented/pending/abandoned
<u>08/725,182</u> (Appl. Ser. No.)	<u>10-3-96</u> Filing Date	<u>Patented</u> Status-patented/pending/abandoned

Power of Attorney

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: John E. Lynch, Reg. No. 20,940; Peter F. Felfe, Reg. No. 20,297; Norman D. Hanson, Reg. No. 30,946; John A. Bauer, Reg. No. 32,554; Mary Anne Schofield, Reg. No. 36,669; James Zubok, Reg. No. 38,671; and James R. Crawford, Reg. No. 39,155 and David A. Rubin Reg. No. 40,314, Attorneys with full power of substitution and revocation. Address all telephone calls to Norman D. Hanson, at (212) 318-3000. Address all correspondence to:

FULBRIGHT & JAWORSKI L.L.P.
666 Fifth Avenue
New York, New York 10103

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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NOV 14 2000 11:50 FR FULBRIGHT & JAWORSKI 1212 318 3122 TO 1030H09807811746 P.05/07



EP 00/2909

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OFFICE OF PETITIONS

(1) Alexander Knuth

Full Name/First Inventor

Signature

Date

Residence: Steinbacher Hohl 2-28

60488 Frankfurt am Main, Germany

German
Citizenship

Post Office Address: Steinbacher Hohl 2-28

60488 Frankfurt am Main, Germany

(2) Elke Jäger

Full Name/Second Inventor

Signature

Date

Residence: Steinbacher Hohl 2-28

60488 Frankfurt am Main, Germany

German
Citizenship

Post Office Address: Steinbacher Hohl 2-28

60488 Frankfurt am Main, Germany

(3) Yao-tseng Chen

Full Name/Third Inventor

Yao Tseng Chen
Signature

11/14/00
Date

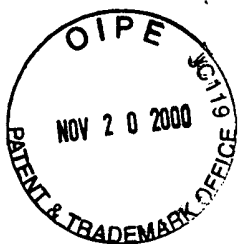
Residence: 525 East 68th Street

New York, New York 10021

Taiwan
Citizenship

Post Office Address: 525 East 68th Street

New York, New York 10021



LUD 5466.4-JEL/NDH

(4) Matthew Scanlan
Full Name/Fourth Inventor

Matthew Scanlan
Signature

9/25/00
Date

Residence: 1275 York Avenue
New York, New York 10021

U.S.A.
Citizenship

Post Office Address: Memorial Sloan-Kettering Cancer Center
1275 York Avenue, New York, New York 10021

(5) Ali Gure
Full Name/Fifth Inventor

Ali Gure
Signature

9/27/2000
Date

Residence: 1275 York Avenue
New York, New York 10021

TURKEY
~~Australia~~
Citizenship

Post Office Address: Memorial Sloan-Kettering Cancer Center
1275 York Avenue, New York, New York 10021

(6) Gerd Ritter
Full Name/Sixth Inventor

Gerd Ritter
Signature

10/5/00
Date

Residence: 1275 York Avenue
New York, New York 10021

German
Citizenship

Post Office Address: Memorial Sloan-Kettering Cancer Center
1275 York Avenue, New York, New York 10021

(7) Lloyd J. Old
Full Name/Seventh Inventor

Lloyd J. Old
Signature

10/18/00
Date

Residence: 1345 Avenue of the Americas
New York, New York 10105

U.S.A.

NOV 14 2000 11:50 FR FULBRIGHT & JAWORSKI11212 318 3122 TO 1030#09807811746 P.07/07



LUD 5466.4-JEL/NDH

Post Office Address: 1345 Avenue of the Americas Citizenship
New York, New York 10105

(8) Jan W. Drijfhout [Signature] 16-10-2000
Full Name/Seventh Inventor Signature Date
Residence: Department of Immunohematology and Blood Bank,
University Hospital
2300 Leiden, The Netherlands Dutch

Post Office Address: Department of Immunohematology and Blood Citizenship
Bank, University Hospital
2300 Leiden, The Netherlands



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TECH CENTER 1600/2900
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DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

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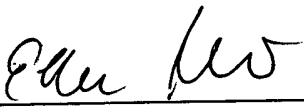
FULBRIGHT & JAWORSKI L.L.P.
666 Fifth Avenue
New York, New York 10103

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(1) Alexander Knuth
Full Name/First Inventor  Sept. 19. 2000
Signature Date

Residence: Steinbacher Hohl 2-28
60488 Frankfurt am Main, Germany German
Citizenship

Post Office Address: Steinbacher Hohl 2-28
60488 Frankfurt am Main, Germany

(2) Elke Jäger  Sept. 19. 2000
Full Name/Second Inventor Signature Date

Residence: Steinbacher Hohl 2-28
60488 Frankfurt am Main, Germany German
Citizenship

Post Office Address: Steinbacher Hohl 2-28
60488 Frankfurt am Main, Germany

(3) Yao-tseng Chen
Full Name/Third Inventor Signature Date

Residence: 525 East 68th Street
New York, New York 10021 Taiwan
Citizenship

Post Office Address: 525 East 68th Street
New York, New York 10021

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(4) Matthew Scanlan

Full Name/Fourth Inventor Signature Date

Residence: 1275 York Avenue
New York, New York 10021 U.S.A.
CitizenshipPost Office Address: Memorial Sloan-Kettering Cancer Center
1275 York Avenue, New York, New York 10021(5) Ali Gure

Full Name/Fifth Inventor Signature Date

Residence: 1275 York Avenue
New York, New York 10021 Australia
CitizenshipPost Office Address: Memorial Sloan-Kettering Cancer Center
1275 York Avenue, New York, New York 10021(6) Gerd Ritter

Full Name/Sixth Inventor Signature Date

Residence: 1275 York Avenue
New York, New York 10021 German
CitizenshipPost Office Address: Memorial Sloan-Kettering Cancer Center
1275 York Avenue, New York, New York 10021(7) Lloyd J. Old

Full Name/Seventh Inventor Signature Date

Residence: 1345 Avenue of the Americas
New York, New York 10105 U.S.A.

Post Office Address: 1345 Avenue of the Americas Citizenship
New York, New York 10105

(8) Jan W. Drijfhout

Full Name/Seventh Inventor Signature Date
Residence: Department of Immunohematology and Blood Bank,
University Hospital
2300 Leiden, The Netherlands Dutch

Post Office Address: Department of Immunohematology and Blood
Bank, University Hospital Citizenship
2300 Leiden, The Netherlands

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OFFICE OF PETITIONS
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LUD 5466.4 CIP - JEL/NDH

TECH CENTER 1600/290

ASSIGNMENT

WHEREAS the undersigned [hereinafter (collectively) "ASSIGNOR"] have invented certain new and useful improvements in

Isolated Peptides Corresponding to Amino Acid
Sequence of NY-ESO-1, Wherein Bind to MHC Class I
and MHC Class II Molecules, and Uses Thereof

for which an application for United States Letters Patent was executed concurrently herewith,

WHEREAS Ludwig Institute for Cancer Research
1345 Avenue of the Americas
New York, New York 10105

a Swiss, not-for-profit corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the full and exclusive right to the said invention anywhere in the world and the entire right, title and interest in and to any U.S. patent application and any U.S. patent issuing thereon covering such invention and all corresponding foreign patent applications and patents issuing thereon anywhere in the world.

Said ASSIGNOR hereby authorizes and requests that U.S. Letters Patent issue to said ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by said ASSIGNOR had this assignment and sale not been made.

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated.

Date(s)

Signature(s)

Oct. 20, 1998

Alexander Knuth
Alexander KNUTH

Oct 20, 1998

Elke Jager
Elke JAGER

Yao CHEN

Matt SCANLAN

Ali GURE

Lloyd J. OLD

Gert RITTER

FULBRIGHT & JAWORSKI L.L.P.
805 Third Avenue
New York, New York 10022
(212) 688-9200

Docket No. LUD 5466.4 (09807811)

ASSIGNMENT

Whereas the undersigned (hereinafter (collectively) "ASSIGNOR" have invented certain new and useful improvements in

ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCES OF NY-ESO-1, WHICH BIND TO MHC CLASS I AND MHC CLASS II MOLECULES, AND USES THEREOF

for which an application for United States Letters Patent was filed on (October 2, 1998 and assigned Serial No. 09/165,546.

Whereas

Ludwig Institute for Cancer Research
605 Third Avenue
New York, New York 10158

a Swiss not-for-profit corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

Said ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, for the full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said ASSIGNOR had this assignment and sale not been made.

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated.

Date(s)

Signature(s)

Aug 14, 2000. 
Lloyd J. Old

Docket No. LUD 5466.4 (09807811)

ASSIGNMENT

Whereas the undersigned [hereinafter (collectively) "ASSIGNOR"] have
invented certain new and useful improvements in

**ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID
SEQUENCES OF NY-ESO-1, WHICH BIND TO MHC CLASS I AND
MHC CLASS II MOLECULES, AND USES THEREOF**

for which an application for United States Letters Patent was filed on October 2, 1998, and
assigned Serial No. 09/165,546.

Whereas Cornell Research Foundation
 20 Thornwood Drive
 Ithaca, New York 11850

a New York, not-for-profit corporation (hereinafter "ASSIGNEE") is desirous of acquiring the
entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is
hereby acknowledged, and the other good and valuable consideration, the said **ASSIGNOR**
hereby sells, assigns and transfers unto said **ASSIGNEE**, the full and exclusive right to the said
invention in the United States and the entire right, title, and interest in and to any and all Letter
Patent which may be granted therefor in the United States.

Said **ASSIGNOR** hereby authorizes and requests the Commissioner of Patents and
Trademarks to issue said Letters Patent to said **ASSIGNEE**, as the assignee of the entire right,
title, and interest in and to the same, for the sole use and for the use of its successors, legal
representatives, and assigns, tot he full end of the term for which said Letter Patent may be
granted, as fully and entirely as the same would have been held by said **ASSIGNOR** had this
assignment and sale not been made.

Witness, the hand and seal of the **ASSIGNOR** on the date(s) indicated:

Date(s)

Signature(s)

Aug 14/2000

Yao-Tseng Chen
Yao-Tseng Chen

Docket No. LUD 5.4 (09807811)**ASSIGNMENT****RECEIVED**

Whereas the undersigned [hereinafter (collectively) "ASSIGNOR" have invented certain new and useful improvements in

**ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCES OF
NY-ESO-1, WHICH BIND TO MHC CLASS I AND MHC CLASS II MOLECULES,
AND USES THEREOF**

TECH CENTER 1800/2900

for which an application for United States Letters Patent was filed on October 2, 1998 and assigned Serial No. 09/165,546.

Whereas

Ludwig Institute for Cancer Research
605 Third Avenue
New York, New York 10158

a Swiss not-for-profit corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

Said ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said ASSIGNOR had this assignment and sale not been made.

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated.

Date(s)

Signature(s)

8/16/00
Gerald Ritter8/11/00
Matthew Scanlon

675884

-1-

** TOTAL PAGE.04 **

Docket No. LUD 5466.4 (09807811)

ASSIGNMENT

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OFFICE OF PETITIONS

Whereas the undersigned (hereinafter collectively) "ASSIGNOR") have
invented certain new and useful improvements in

ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCES OF
NY-ESO-1, WHICH BIND TO MHC CLASS I AND MHC CLASS II MOLECULES.
AND USES THEREOF

for which an application for United States Letters Patent was filed on October 2, 1998, and
assigned Serial No. 09/165,546.

Whereas Memorial Sloan-Kettering Cancer Center
1275 York Avenue
New York, New York 10021

a New York, not-for-profit corporation (hereinafter "ASSIGNEE") is desirous of acquiring the
entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt
whereof is hereby acknowledged, and the other good and valuable consideration, the said
ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the full and exclusive
right to the said invention in the United States and the entire right, title, and interest in and to any
and all Letter Patent which may be granted therefor in the United States.

Said ASSIGNOR hereby authorizes and requests the Commissioner of Patents
and Trademarks to issue said Letters Patent to said ASSIGNEE, as the assignee of the entire
right, title, and interest in and to the same, for the sole use and for the use of its successors, legal
representatives, and assigns, to the full end of the term for which said Letter Patent may be
granted, as fully and entirely as the same would have been held by said ASSIGNOR had this
assignment and sale not been made.

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated:

Date(s)

Signature(s)

8/22/00

Ali Ghre

675883

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00 AUG 28 AM 10:51
U.S. DEPT. OF COMMERCE

File No. LUD 5466.4 US - JEL/NDH

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ASSIGNMENT

Whereas the undersigned [hereinafter (collectively) "**ASSIGNOR**"] have invented certain new and useful improvements in

ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCES OF NY-ESO-1, WHEREIN SAID PEPTIDES BIND TO MHC CLASS I AND MHC CLASS II MOLECULES, AND USES THEREOF

(the "**INVENTION**") for which an application for United States Letters Patent was filed on October 2, 1998, and assigned Serial No. 09/165,546.

WHEREAS

Academisch Ziekenhuis Leiden
Albinusdreef 2
2300 RC Leiden
The Netherlands

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NOV 24 2000

OFFICE OF PETITIONS

a Netherlands corporation (hereinafter "**ASSIGNEE**") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said **ASSIGNOR** hereby sells, assigns and transfers unto said **ASSIGNEE**, the full and exclusive right to the said **INVENTION** anywhere in the world, the entire right, title, and interest in and to any U.S. patent application and any U.S. patent issuing thereon covering the **INVENTION**, and all corresponding foreign patent applications and patents issuing thereon anywhere in the world. **ASSIGNOR** will execute all documents deemed necessary by **ASSIGNEE** to confirm title in **ASSIGNEE**.

Said **ASSIGNOR** hereby authorizes and requests that U.S. Letters Patent issue to said **ASSIGNEE**, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said **ASSIGNOR** had this assignment and sale not been made.

Witness, the hand and seal of the **ASSIGNOR** on the date(s) indicated.

Date(s)

Signature(s)

16-10-2000

Date



Jan W. Drijfhout

FULBRIGHT & JAWORSKI L.L.P., 666 Fifth Avenue, New York, NY 10103

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NOV 20 2000

LUD 5466.4 - JEL/NDH (0980781) TECH CENTER 1600/2900

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NOV 24 2000



VIA FIRST CLASS MAIL
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

FULBRIGHT & JAWORSKI L.L.P.

By _____
Denise Squires

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner : Wilson, M.

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and Trademarks
Washington, D.C. 20231

CONSENT TO CHANGE IN INVENTORSHIP (37 CFR § 1.48(a)(4))

S I R:

The undersigned, having authority to act on behalf of Memorial Sloan Kettering Cancer Center, to whom coinventors Gerd Ritter and Matthew Scanlan have assigned the above referenced application, hereby agrees to the addition of Jan W. Drijfhout as a coinventor.

Respectfully submitted,

Date: 11/3/00

James S. Quirk
Printed Name: James S. Quirk
Title: Senior Vice President
Research Resources Management

VIA FIRST CLASS MAIL
I hereby certify that this correspondence is being
deposited with the United States Postal Services as first
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FULBRIGHT & JAWORSKI L.L.P.

By _____
Denise Squires

LUD 5466.4 - JEL/NDH (0980781)

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TECH CENTER 1600 7200



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Knuth, et al.
Serial No. : 09/165,546
Filed : October 2, 1998
For : ISOLATED PEPTIDES CORRESPONDING TO
AMINO ACID SEQUENCES OF NY-ESO-1...
Group Art Unit : 1633
Examiner : Wilson, M.

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

CONSENT TO CHANGE IN INVENTORSHIP (37 CFR § 1.48(a)(4))

S I R:

The undersigned, having authority to act on behalf of Ludwig Institute for Cancer Research, to whom coinventors Alexander Knuth, Elke Jager, Ali Gure and Lloyd J. Old have assigned the above referenced application, hereby agrees to the addition of Jan W. Drijfhout as a coinventor.

Respectfully submitted,

Date: September 19, 2000


Edward A. McDermott, Esq.
President

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LUD 5466.4 - JEL/NDH (09807811)

TECH CENTER 1600/2980



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Knuth, et al.
Serial No. : 09/165,546
Filed : October 2, 1998
For : ISOLATED PEPTIDES CORRESPONDING TO
AMINO ACID SEQUENCES OF NY-ESO-1. . .
Group Art Unit : 1633
Examiner : Wilson, M.

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

CONSENT TO CHANGE IN INVENTORSHIP (37 CFR § 1.48(a)(4))

S I R:

The undersigned, having authority to act on behalf of Cornell Research Foundation, to
whom coinventor Yao-Tseng Chen has assigned the above referenced application, hereby agrees
to the addition of Jan W. Drijfhout as a coinventor.

Respectfully submitted,

Dated: September 11, 2000

James A. Sun
Printed Name:

Title: President and Director